

CLIENT RELATIONSHIP SUMMARY

Introduction. Diamant Asset Management, Inc. is an investment adviser registered with the United States Securities and Exchange Commission (“SEC”). Investment advisory services and brokerage services differ. In this document we’ll explain the differences in services and fees.

There are also free and simple tools available for you to research firms and financial professionals at Investor.gov/CRS which also provides educational materials about investment advisers, broker-dealers, and investing.

Relationships and Services.

A. You should ask: What investment services can you provide me?

B. Description of services. We offer investment advisory services only. These services include assistance with developing your investment strategy in which we design methods to achieve your investment goals. Generally, our investment advice focuses on providing clients with a conservative portfolio of high-quality individual securities which fit your investment profile.

1. Monitoring. We monitor your account on a quarterly basis using a state-of-the-art portfolio accounting system. We also provide a quarterly review of your account which includes portfolio valuations.

2. Investment authority. Our investment advisory agreement includes discretionary authority. You grant us authority to select the identity and amount of securities to be bought and sold for your account. We work together at the beginning of the relationship to establish your goals and objectives for the account and we exercise discretion based on those goals and objectives.

3. Account minimums and other requirements. We charge quarterly minimum fees. We do not have a minimum account size.

C. Additional information. You can read more about our services by reading our Form ADV, Part2A brochure and Regulation Best Interest, as applicable.

D. Questions you should consider asking us.

1. Given my financial situation, should I choose an investment advisory service?

2. How will you choose investments to recommend to me?

3. What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?

Fees, Costs, Conflicts, and Standard of Conduct

A. What fees will I pay?

1. Description of Fees and Costs: We charge a fee for investment advice which is based on a percentage of assets under management. If your portfolio increases in value, our fees increase. If your portfolio declines in value, our fees decline in value. We believe that this fee policy best aligns your economic interest with ours. For equities, based on a tiered schedule, fees range from 1.5% to 0.75%. For fixed income bonds, based on a tiered schedule, fees range from 0.75% to 0.375%. The amount paid to our Firm and your financial professional generally does not vary based on the type of equity or bond investments we select on your behalf. The amount of the portfolio management fee is negotiable.
2. Our fee structure presents the possibility of a conflict of interest. It is in our interest to increase the amount of assets as our fees would increase as well. We have an incentive to grow the amount of assets in your account.
3. Additional information. You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Our fees are detailed in Item 5 of our Form ADV Part II. Please make sure you understand what fees and costs you are paying. A more detailed description of our fees is found in our ADV Part II link at www.portfolioadvisor.com.
4. You should ask the following question: Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs and how much will be invested for me?

B. What are your legal obligations to me when providing recommendations as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

1. When we act as your investment adviser we have to act in your best interest and not put our interest ahead of yours. At the same time the way we make our money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide to you. Our fiduciary standard places your best interests ahead of our interests.
2. Examples of the ways you make money and conflicts of interest. We earn compensation only through advisory fees.
3. How might your conflicts of interest affect me and how will you address them? Although a good question to ask, this is not really applicable to our client relationship.

C. How do your financial professionals make money?

1. Description of how financial professionals make money. Employees who provide investment advice are compensated only by salary and bonuses. Their bonus may factor in the revenue earned from client assets they service, or the time and complexity to meet client's needs.
2. Required topics: Employees do not receive compensation from any products sold, product sales commissions, or revenue the firm earns from advisory recommendations.

Disciplinary History

A. Do you or your financial professionals have legal or disciplinary history? Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. There is no material information which should be disclosed.

B. No

C. Research of Financial professionals and firms. To research the disciplinary history of financial professionals and firms go to Investor.gov/CRS.

D. To start a conversation about a financial professional's disciplinary history you should ask the following questions: As a financial professional, do you have any disciplinary history? For what type of conduct?

Additional Information

A. Our website www.portfolioadvisor.com describes "Managing Wealth Through Life's Transitions, includes a Resource tab of important topics for investors, and provides a link to specific sections of our Form ADV. To report a problem to the SEC visit Investor.gov or call the SEC's toll-free investor assistance line (800) 732-0330. If you have a problem with your investments, investment account, or a financial professional, communicate with us in writing at the primary business address listed in Form ADV Part 2. For up to date information about us, about your account, or for a copy of Form CRS call 203-661-6410 or access our website.

B. You may wish to ask a financial professional the following questions:

1. Who is my primary contact person?
2. Is he or she a representative of the investment adviser?
3. With whom can I talk if I have concerns about how this person is treating me?